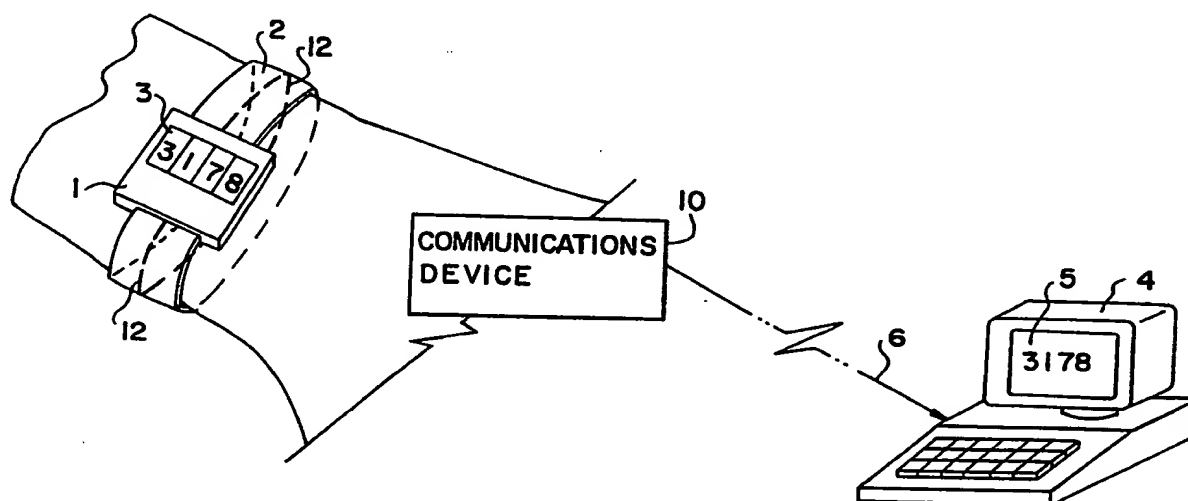




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>G06F 7/04, G01S 5/02</b> <b>H04M 11/00, H04L 9/12</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 93/04425</b> <b>(43) International Publication Date:</b> 4 March 1993 (04.03.93)
<b>(21) International Application Number:</b> PCT/US92/06563 <b>(22) International Filing Date:</b> 12 August 1992 (12.08.92)  <b>(30) Priority data:</b> 744,346                      13 August 1991 (13.08.91)                      US  <b>(71) Applicant:</b> UNIVERSAL PHOTONIX, INC. [US/US]; 26941 Cabot Read, Suite 127, Laguna Hills, CA 92653 (US).  <b>(72) Inventor:</b> PINNOW, Douglas, A. ; 25402 Spotted Pony Lane, Laguna Hills, CA 92653 (US).  <b>(74) Agents:</b> WOLFE, Charles, R., Jr. et al.; Bacon & Thomas, 625 Slaters Lane, Fourth Floor, Alexandria, VA 22314 (US).		<b>(81) Designated States:</b> CA, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** SYSTEM FOR REMOTELY VALIDATING THE IDENTITY OF INDIVIDUALS AND DETERMINING THEIR LOCATIONS

**(57) Abstract**

A system for remotely validating the identity of individuals and monitoring their locations includes a first device (1) attached via a band (2) and worn by the individual being monitored that is capable of generating a pseudorandom number sequence (3), and will cease to function if the band (2) is detached from the individual. The pseudorandom number sequence (3), which changes time and cannot be predicted serves as an access Key. Additionally, a remote second device (4), in occasional communication with the individual over telephone lines or some other remote means such as a radio frequency transmission link (6), is synchronized to the same pseudorandom number sequence (5). The system may be used to limit access to offices, buildings, or computer databases to authorized individuals, and also determine an individual's location for various purposes, such as electronic monitored house arrest, when the individual communicates a current valid number sequence (3) to the second device (4) for remote validation.

REST AVAILABLE COPY

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FI	Finland	MN	Mongolia
AU	Australia	FR	France	MR	Mauritania
BB	Barbados	GA	Gabon	MW	Malawi
BE	Belgium	GB	United Kingdom	NL	Netherlands
BF	Burkina Faso	GN	Guinea	NO	Norway
BG	Bulgaria	GR	Greece	NZ	New Zealand
BJ	Benin	HU	Hungary	PL	Poland
BR	Brazil	IE	Ireland	PT	Portugal
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	RU	Russian Federation
CG	Congo	KP	Democratic People's Republic of Korea	SD	Sudan
CH	Switzerland			SE	Sweden
CI	Côte d'Ivoire	KR	Republic of Korea	SK	Slovak Republic
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CS	Czechoslovakia	LK	Sri Lanka	SU	Soviet Union
CZ	Czech Republic	LU	Luxembourg	TD	Chad
DE	Germany	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America

SYSTEM FOR REMOTELY VALIDATING THE IDENTITY OF INDIVIDUALS AND  
DETERMINING THEIR LOCATIONS

BACKGROUND OF THE INVENTION

5        1.     Field of the Invention

         This invention relates to a system capable of remote validation of the  
identity of an individual.

         2.     Description of Related Art

         Remote validation of the identity of an individual for access control is an age  
10     old problem that has many different solutions. The oldest and most common  
solution has been to issue a metal key to the valid user. The detailed shape of the  
key contains coded information. However, keys can be lost, stolen or duplicated  
and given to others, thereby compromising security.

         The use of electronic identification cards such as credit cards with electronic  
15     or magnetic data codes for access control purposes has similar drawbacks. Credit

cards can also be lost, stolen, and/or duplicated. For some financial transactions using credit cards, the coded information in the card must be complemented by a personal identification number (PIN) that the user is advised to commit to memory. This is so that a lost card will be of no value to anyone but the valid user. In practice, however, a substantial fraction of credit card users find it difficult to remember their PIN number, and therefore write it down on a slip of paper that they carry in their purse or wallet. If a thief steals a wallet or purse, he not only gets the credit card, but also the PIN as well.

In the case of remote identity validation for the purpose of "electronic house arrest," neither electronic identification cards nor keys are useful. While numerous remote validation systems have been proposed, each has significant drawbacks. Nevertheless, the demand for such systems is continually increasing.

The rapid growth in the field of electronic monitored house arrest is being propelled by several key factors, including the limited capacity of existing penal institutions, the substantial growth in crime rate and conviction rate in most major metropolitan areas, increasing taxpayer reluctance to bear the expense of expanded jail or prison facilities, resistance by citizen groups to the construction of new penal institutions in their neighborhoods, recognition that many convicted offenders do not represent a risk to society, so that incarceration is an unnecessary and expensive alternative to house arrest, and a growing awareness that electronic house arrest is the most cost effective alternative to effectively manage and monitor offenders who are not dangerous to society. A basic economic reality is that new prison facilities cost approximately \$50,000 per prisoner to construct and approximately \$50-60 per day to operate. In contrast, electronic house arrest can

be provided for about \$4 per day or less and, in many cases, the offender is willing to pay this fee for the privilege of not being incarcerated.

A number of electronic house arrest products have been introduced to the market in the past several years. At one extreme is a rather primitive system introduced by Digital Products Corporation, Inc. that requires the offender to wear a coded device on his or her wrist. The device is inserted into a special attachment on a telephone for verification, as described in U.S. Patent No. 4,747,120. The system reliability depends primarily on the ability of a parole officer to recognize the voice of the offender when random telephone calls are made to the officer. This is because the wrist-worn device can be removed and left for someone else to insert into the telephone verifier while the offender is gone.

At the other extreme, there are very costly systems such as the video monitoring systems offered by Matsushita. This system can grab a single video picture of the offender and relay it over the telephone lines to a parole officer in approximately one minute. The offender is asked to do something recognizable such as "touch your left ear", in order to avoid use of a photograph positioned in front of the video camera.

The most popular type of system on the market today employs a coded radio transmitting device that is attached to the offender's ankle or wrist. The radio transmitter automatically sends a periodic coded signal to a receiver module attached to the offender's telephone. If the signal is not received, the receiver module automatically dials the parole officer to report a violation. Alternatively, the receiver module can be periodically interrogated for recent violations by an

automatic telephone response device located in the parole officer's facilities. To enhance security against tampering, the radio transmitter is designed to stop functioning if it is removed from the offender's wrist or ankle. This type of radio equipment is available from BI, Inc. and Digital Products, Inc. and is described in Patent No. 4,747,120 to Foley, for example. Such systems have a history of problems that relate to various interferences that can disrupt the radio link. For example, if the offender walks behind a metal object, such as a refrigerator, or enters a room with metal foil wallpaper, the radio signal can be lost. This could trigger an investigation which is both expensive and undermines confidence in the system.

All of the current electronic house arrest systems require some attachments to an offender's telephone. This is even true for a voice recognition system capable of validating the presence of an offender by his electronic voice print in analogy with a finger print. Voice recognition could, in principal, be performed at a remote station without additional attachments to the telephone of a person being monitored. However, in practice, the low fidelity of a voice transmitted over the telephone system is not of sufficient quality for consistent and reliable detection. Thus, voice identification equipment must be located in the residence of the person being monitored with an attachment to the telephone. In addition to being expensive, voice recognition equipment is notorious for failing when the offender has developed a cold or congestion which alters his "voice print".

#### SUMMARY OF THE INVENTION

It is an objective of the present invention to overcome the drawbacks of prior art remote identity validation devices by providing an electronic "key" device

that cannot be duplicated and that will cease to function if it becomes lost or stolen.

It is a further objective of the invention to provide an electronic key device capable of establishing the location of a valid user for various purposes such as electronic monitored house arrest.

These objectives are achieved by providing a key device capable of electronically generating and displaying a sequence of pseudorandom numbers that change in time. The key is attached to the user by a circumferential band which is placed around the bearer's wrist, ankle, or neck, and will cease to function if the band is cut, otherwise opened, or detached from the user. The term "pseudorandom number" is intended to refer to any generated number which is not predetermined or known in advance by anyone not associated with the originator of a sequence of otherwise random appearing numbers.

The objectives of the invention are further achieved by using the electronic key device to enable the key holder to identify himself or herself over a telephone line by transmitting a current pseudorandom number over the telephone line. The pseudorandom number generator is synchronized with a pseudorandom number generator located in a central base computer. Because the user's key device will cease to function if it is separated from the user, the only way that a correct number code could be relayed back to the central computer by the user is if the user is actually present at the telephone line being monitored in order to convey the current pseudorandom number code. Alternatively, a person being monitored with the new security key as an identification means can establish his geographical

coordinates using some ancillary means such as a portable LORAN receiver or a Global Positioning Satellite (GPS) receiver that is carried by the person being monitored.

5 A major advantage of using the new electronic key in conjunction with an electronic house arrest system is that there is no need for an attachment to the offender's telephone. It can be used in conjunction with any standard touch tone telephone set. This feature permits monitoring an offender both at home and at work without the need to duplicate expensive electronic devices that must be added to each telephone being monitored.

10 In the preferred embodiment of an electronic house arrest system, the offender wears a device on the wrist that resembles a wrist watch. The device may, for example, have a four character digital display that changes in a pseudorandom fashion at an irregular interval, such as every 41.152 seconds. This device will stop functioning if detached from the offender's wrist.

15 In operation, the preferred system uses a central station computer to automatically call the offender at random times during the day or night. The control station is synchronized in time with the wrist worn device on the offender, using precise quartz crystal timing. Thus, the offender's presence at the number called can be established by conveying the pseudorandom number code as shown on his  
20 wrist device, back to the central station. The validation can be entirely automatic following a protocol similar to the following:



First, the central station calls the offender at a random time with a recorded voice announcement:

"Hello. Is this John Q. Offender? If yes, please press the 'Y' button on your telephone. If no, please press the 'N' button."

5 If the 'N' button is pressed, the central station asks:

"Please ask John Q. Offender to come to the phone immediately.  
(pause) Is this John Q. Offender? If yes, please press the 'Y' button on the telephone."

10 The message is repeated until the 'Y' button is pressed or one minute has elapsed and the call is terminated.

The central station then requests that the Offender look at his or her wrist monitor and enter the current four digit pseudorandom number into the telephone by pressing the corresponding buttons on the telephone keyboard. The offender then enters the code displayed on the wrist monitor, and the central station repeats  
15 the entered code and gives the offender a chance to verify the code by pressing, for example the # button, or to enter a new code by pressing the \* button.

Each morning the central station automatically prepares a report summarizing all of the offenders contacted during the previous 24 hours and highlighting all irregularities such as offenders using incorrect codes or offenders  
20 who do not respond to their calls. These offenders require human follow-up.

It is estimated that the average call to an offender lasts approximately 1 ½ minutes. Thus, 40 offenders may be contacted each hour and 960 could be monitored at least once every 24 hours. A reasonable monitoring frequency is one

contact per day on average. The offenders are instructed that the calls are made on a completely random basis so that it would be possible, though not likely, for a second call to follow the first just several minutes later.

To ensure that the system is invulnerable to component failure or natural disaster, such as a fire at the central station or a long term power outage, a current backup database is preferably provided for all central stations at some common location. If a failure occurs at a particular central station, the appropriate database for that station would be loaded into a temporary station and monitoring of offenders would resume, possibly over long distance telephone lines. In an alternative preferred embodiment, each central station may have a redundant backup system locally. If the reliability of state-of-the-art telephone response systems is sufficiently high, so that the down time is low, a single backup at a remote location would be favored.

The wrist-worn device of the preferred embodiment is relatively inexpensive, may be leased or rented to the user for the privilege of avoiding incarceration, and requires no other special electronics in the offender's residence. This greatly reduces the cost of maintaining the system. It is well-known that electronics leased to home and apartment dwellers are particularly vulnerable to damage and theft. For example, this is a substantial problem for cable TV system operators whose customers are typically not criminals. The situation would be expected to be more serious in the case of convicted offenders, in which case the simplicity of the preferred system is especially advantageous.

The low maintenance and operating cost for the new electronically monitored house arrest system results in favorable lease rates, and thus goes a long way towards ensuring that electronic house arrest is not just the privilege available only to the wealthy.

5           There are many additional features and technologies that can be added to expand the capabilities of the basic system, as will be described in detail in the following sections.

#### BRIEF DESCRIPTION OF THE DRAWING

10           Figure 1 is a perspective view of an electronic key device attached to an individual's wrist with a circumferential band and a remote computer monitoring station in accordance with a preferred embodiment of the invention.

Figure 2 is a circuit diagram for the electronic key device of Figure 1.

Figure 3 shows an embodiment of the invention in which the key device of Figure 1 is used for an electronic monitored house arrest system.

15           Figure 4 illustrates an alternative embodiment of the invention including an electronic monitored house arrest system that operates with a Teletrac transponder.

Figure 5 is a block diagram of an electronic monitored house arrest system using a Loran C receiver or a Global Positioning Satellite receiver according to another preferred embodiment of the invention.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to Figures 1 and 2, a wrist watch-like electronic key device 1 is attached to the wrist of a user by a band 2, and includes a liquid crystal display 3 that changes according to a pseudorandom sequence at predetermined times. For example, the display may use a quartz crystal oscillator 8 to synchronize the device to a clock at a remote computer station 4 having a display 5. Remote station 4 may be used to monitor a plurality of individual devices identical to device 1 except that the individuals preferably are differentiated by providing a different pseudorandom number sequence for each individual. The positions of the individuals are preferably graphically displayed on display 5.

Silicon integrated circuit 7 need be no more complicated than the circuit used to establish time in a conventional digital wrist watch, except that quartz crystal 8 operates at some frequency other than 32,768 Hz, which is the standard frequency for quartz wrist watches. For example, use of a frequency of 40,000 Hz will cause the digital display 3 to change at a non-standard interval, such as every 41.152 seconds, rather than the standard 60 second interval. Although the sequence need not be truly random, the effect is the same because the sequence cannot be predicted by the user in advance, and thus is pseudorandom.

The normal time information may be converted into a pseudorandom number code in the liquid crystal display 3 by simply permutting two or more of the displayed digits by interchanging the conductors 15 that connect the liquid crystal display to the silicon integrated circuit (IC) chip. Alternatively, the pseudorandom number may be advanced at pseudorandom time intervals after some event has

occurred, such as pushing a button 14 on the device after the receipt or origination of a communications link 6 between monitoring station 4 and communications device 10 accessible by the wearer of the device 1.

Device 10 may take the form of an ordinary telephone, or a radio  
5 transceiver. Remote validation of identity is accomplished by prompting the user to read the current number on his wrist display 3 and transmit the number to the remote station 4 where the number is compared with the current number generated at the remote station 4. So long as a circuit 12 in the band has not been broken by removing the band, the displayed numbers remain synchronized and the number  
10 read by the user will be correct.

Wrist watch band 2 is integral to the device and carries electrical or optical circuit 12 which interrupts the pseudorandom sequence, when opened, and which may reset the sequence if the band is subsequently closed. Circuit 12 is shown in dashed line in Figure 1. This can be accomplished with a standard silicon IC used  
15 in wrist watches by connecting battery 9 to the IC via the electronic circuit 12 extending through band 2. In some instances, the device may be worn on an ankle or neck. In these cases, the band must be suitably adjusted.

Figure 3 illustrates an embodiment in which the wrist display is used as a personal identification device to identify whether a person is at a particular  
20 telephone number. This system is similar to the more general system of Figure 1, but is especially applicable for electronic house arrest situations. Communication occurs over a touchtone telephone line 22 between person 21 wearing the wrist device 1 and a central computer monitoring station 4 which is programmed to

randomly place a call through a telephone modem 20 to the person wearing the wrist device at his or her home, work, etc. and request identification by having the person key into the touchtone telephone 23 the current pseudorandom number shown in the display 3 of wrist device 1.

5           The computer at station 4 is programmed to generate the same sequence of current pseudorandom numbers as wrist device 1, or a sequence from which the current pseudorandom number can be calculated, and therefore verifies whether the person answering the telephone call is the person called. Because the wrist device cannot be removed from a person's wrist without interrupting the sequence of  
10 pseudorandom numbers, the system provides positive identification.

The following enhancements may be used with the basic electronic monitored house arrest system described above:

1.    The wrist device display may be arranged to display the pseudorandom number only when a button 13 is pushed on the wrist device, and  
15 the number of times per day that this button may be pressed can be limited so that the wearer would not be tempted to try to study and break the pseudorandom code.

2.    A piezoelectric ceramic disc 11 with electrodes on front and back surfaces, or some other type of sound generator, may be provided to "beep" the  
20 pseudorandom code in any of a number of digital formats, including a serial data bit stream superimposed on a fixed acoustic tone or a serial sequence of varying acoustic tones, over the telephone line in response to a telephone request from the

remote microprocessor or computer monitoring station 4. The user would press a button on his wrist device and then place it next to the telephone mouthpiece so that the frequency tones would be picked up by the microphone in the mouthpiece of the telephone.

5                   3.       In cases where the person being monitored does not have a telephone at home, the wrist device may be arranged to generate an audible alarm beep at pseudorandom time intervals indicating that the wearer must place a telephone call remote to the microprocessor or computer monitoring station within a short interval. The individual would immediately go to a predetermined telephone (e.g.,  
10       a pay phone) and call the monitoring station. If the new SS-7 (Automatic Number Identification) switching system were operating, the SS-7 system would forward the telephone number of the calling party. If not, the person calling would be requested to transmit his telephone number to the central station and hang up and wait for an immediate return call. The person receiving the return call would then  
15       identify himself by communicating the current pseudorandom number on his wrist device.

                  4.       Alternatively, if the person being monitored does not have a telephone at home, the person may be provided with a conventional paging device that will prompt him as to the time he should initiate a verification call to the  
20       monitoring computer.

                  5.       An optional convenience would be to arrange a device with any of the above features to also serve as a wrist watch for telling time.

In certain cases, an individual may not have a telephone and may not be located where telephone service is available. In such cases, the electronic key 1 may still be useful for electronic monitored house arrest if used in conjunction with position locating systems such as Loran C, the Global Positioning Satellite (GPS), or time delay triangulation systems, such as the one used by International Teletrac for recovering stolen vehicles in conjunction with a telephone paging system. Other positioning systems are worthy of consideration, although it is an advantage to use established systems rather than dealing with the major expense of introducing a new system. In all cases, the watch-like device 1 with a pseudorandom number code display 3 serves as a positive means for identifying the individual, while the positioning system is used to establish location coordinates at the time a request from the monitoring station is made.

Figure 4 shows an International Teletrac transponder 30 having an antenna 31 and which is similar to the one used on trucks and cars for vehicle locating and tracking. The transponder can be packaged in a briefcase or some other convenient portable package that is battery operated. A recharger may be provided for recharging the battery at night, while the transponder remains fully operational.

In operation, a radio frequency signal is sent out over paging network 33 addressed to a specific transponder 30, requesting its location. The transponder alerts the individual being monitored with an audible and/or visual alarm 34 that a response is required. The person being monitored identifies himself to the transponder by transferring his current pseudorandom code into the transponder with either a keypad 35 or by acoustic, optic, or radio coupling, as described previously. The transponder then relays this code information back over the pager



network along with a narrow locating pulse. Time, location and personal verification is then performed at the remote station.

Unlike the International Teletrac system, which is a two way system, Loran C and GPS are one way transmission systems and thus have no return signaling capabilities. There are a number of small portable Loran C and GPS devices about the size of a pocket calculator or pocket book which are presently commercially available and which can accurately establish location within tens of feet to several hundred feet. These devices may be modified to include a clock 41, memory 43 and suitable data input/output interfaces, 42 and 44, respectively integrated into a convenient and portable battery operated package, as shown in Figure 5.

In one mode of operation, the wrist device 1, described above, would notify the person wearing it at pseudorandom time intervals that he must transfer his present code to the Loran C or GPS unit 40 depicted in Figure 5. The unit would include additional means to then record, in memory 43, as indicated by respective arrows 44, 45, and 46, the time based on an integral clock 41, the location of the unit, and the current pseudorandom code entered by the wearer into data input unit 42. This information, and other similar checkpoints could then be played back as indicated by arrow 47 over a telephone line using an acoustic means or other memory output device 44 similar to that described above in connection with Figures 1 and 2, at some convenient time, for example at the end of the day or once per week, depending on the level of security desired by the monitoring authority.

Alternatively, the modified Loran C or GPS unit could be in communication with the wrist or ankle worn device by a short range radio transmission link with a range of, for example, 200 feet or less. The identification by transfer of the code could then be accomplished entirely automatically so that the person being  
5 monitored would not have to do anything. In fact, the person could be monitored as he slept. This type of system could establish a record of the person's location at regular time intervals or at pseudorandom time intervals. Transmission could be accomplished every few minutes or even every fraction of a minute, if desired, to provide a complete daily history of the person's movements.

10 If the transfer of information from the wrist or ankle device 1 is done by a radio link or by an acoustic or optic relay, there is no need to use a pseudorandom rolling code. A fixed code would suffice so long as the person doesn't see the code. However, there is always a security advantage in using the pseudorandom code so that audio tape recorders or other electronic playback devices would not  
15 be used to defeat the intent of the system.

In another variation of the preferred embodiment of the invention, referring back to Figure 1, a wrist worn device 1 as described above is used for gaining access to secure facilities or for accessing computer data bases, and especially for remote access of these data bases over telephone lines. The device could  
20 optionally be made more useful with the addition of an attractive time display feature, offering both security and a degree of "status" to the wearer. The person wearing the device would be required to wear the device continuously, for 24 hours per day. If he or she were ever to remove it, the pseudorandom number code sequence would be interrupted or reset and it would no longer be recognized as

being valid by the computer system. In that case, the individual would be required to report back to a security officer or some other individual of authority and identify himself in order to have his pseudorandom number sequence resynchronized with the computer system.

5           In operation from a remote telephone, an authorized person wearing the wrist device first establishes contact with a telephone modem in remote station 4. He or she then provides identification either by name or some fixed personal identification number (PIN). Finally, the central computer asks for the current pseudorandom number to complete the identification process. The advantage of  
10       this system is that the authorized user cannot give his key away to someone else. Even though he can pass on his PIN number, there is no way to hand over the pseudorandom number code because the user cannot predict what the code number will be in some future time, and detachment of the device from the user's body breaks the proper pseudorandom sequence.

15           In another preferred embodiment of the invention, a calculator-like wrist watch device is provided. In operation, the wearer enters his PIN number into the calculator keypad. The device then responds with the current pseudorandom number code. If an improper PIN is used, the wrist device displays an incorrect pseudorandom number. Such a device would be useful for authenticating a  
20       security agent in the field. If the agent is captured and pressured, he could either open his wrist strap to break the pseudorandom sequence or give a false PIN. In either case, there would be no way for the agent's adversaries to know if they have the correct number code unless they used some type of truth serum injection. The central station could be programmed to provide false information, rather than to

withhold information, in the event that a wrong code were used. This would protect the agent from further pressure.

5 An infrared or acoustic sensor may be added to the wrist worn device to detect the pulse of the wearer such that the wrist worn device would cease to function or the pseudorandom number sequence would be reset if this sensor detected an extended interruption of the pulse. Thus, if the wearer were to die or be killed, the wrist worn device would have no further value.

10 While the present invention has now been described in terms of preferred embodiments and exemplified with respect thereto, one skilled in the art will readily appreciate that various modifications, changes, omissions and substitutions may be made without departing from the spirit thereof. It is intended, therefore, that the present invention be limited solely by the following claims.

**I Claim:**

1. A system for remotely validating the identity of an individual, comprising:  
a first device including first pseudorandom number generating means for generating a first sequence of pseudorandom numbers and attachment means for attaching the device securely to the individual such that the electronic package will  
5 cease to function if it is removed from the individual;  
a second device including second pseudorandom number generating means for generating a second sequence of pseudorandom numbers synchronized with said first sequence of pseudorandom numbers; and  
means for establishing an occasional remote communication in order to  
10 compare said first and second sequences of pseudorandom numbers.
2. A system as claimed in claim 1, wherein said first pseudorandom number generating means comprises a quartz crystal oscillator timing element for synchronizing said first sequence with said second sequence.
3. A system as claimed in claim 1, further comprising a plurality of individual ones of said first devices, each one attached to one of a plurality of individuals, each of said plurality of first devices being monitored by a single second device.
4. A system as claimed in claim 3, wherein the individual ones of said plurality of individuals may be differentiated by providing a different first pseudorandom number for each individual.

5. A system as claimed in claim 1, wherein said first pseudorandom number generating means comprises means for displaying said first sequence on a liquid crystal display.
6. A system as claimed in claim 5, further comprising means including a button on said first device for initiating an audio response from said first device in order to communicate the current pseudorandom number over a telephone link to said second device.
7. A system as claimed in claim 6, wherein said digital audio response from said first device comprises a serial data bit stream superimposed on a fixed acoustic carrier tone.
8. A system as claimed in claim 6, wherein said digital audio response from said first device comprises a serial data bit stream of varying acoustic tones.
9. A system as claimed in claim 5, wherein said first pseudorandom number generating means comprises a sound generating element including a piezoelectric ceramic disc with electrodes on front and back surfaces.
10. A system as claimed in claim 1, wherein said first device is a digital wrist watch having a quartz crystal which operates at a frequency other than 32,768 Hz.
11. A system as claimed in claim 10, further comprising means including a button for making the liquid crystal display intelligible only when the button is pressed.

12. A system as claimed in claim 11, further comprising a counter that limits the number of times the button may be pressed, during a predetermined time interval, before the pseudorandom sequence in said device is terminated or reset.

13. A system as claimed in claim 1, wherein said first device is a digital wrist watch having a liquid crystal display in which at least two digits of the time displayed are interchanged or permuted.

14. A system as claimed in claim 11, further comprising means including a button for making the liquid crystal display intelligible only when the button is pressed.

15. A system as claimed in claim 14, further comprising a counter that limits the number of times the button may be pressed, during a predetermined time interval, before the pseudorandom sequence in said device is terminated or reset.

16. A system as claimed in claim 1, wherein said first device further comprises means for displaying the current time.

17. A system as claimed in claim 1, wherein said second device comprises means for automatically calling the individual at random time intervals at predetermined telephone numbers to verify that the wearer of said first device is present at the location of said predetermined telephone number.

18. A system as claimed in claim 17, comprising means for permitting the individual to verify his identity by communicating said first sequence of

pseudorandom numbers to said second device by pressing corresponding digits on a touchtone telephone.

19. A system as claimed in claim 17, comprising means for permitting the individual to verify his identity by pressing a button on said first device, said first device comprising means for initiating a digital audio response from said first device when said button is pushed, the audio response communicating the current  
5 pseudorandom number over a telephone link to said second device.

20. A system as claimed in claim 1, wherein said pseudorandom number sequence changes at equal time intervals.

21. A system as claimed in claim 1, wherein said pseudorandom number sequence changes at pseudorandom time intervals.

22. A system as claimed in claim 21, further comprising means for causing said first device to alert said individual at pseudorandom time intervals that the individual must initiate contact to said second device within a limited time interval.

23. A system as claimed in claim 22, further comprising means for permitting the individual to communicate his present telephone number to said second device, and means for causing said second device to subsequently call back over the telephone system to verify that the individual is actually present at said particular  
5 telephone number by requesting the individual to convey said first sequence of pseudorandom numbers to said second device.



24. A system as claimed in claim 22, comprising means for causing the individual to initiate a telephone call to said second device to convey said first sequence of pseudorandom numbers over a telephone system, and automatic number identification means for automatically forwarding the telephone number of the calling party to the receiving party.

5

25. A system as claimed in claim 1, comprising means for causing said first pseudorandom number sequence to advance one step after a button on said first device is pressed by the individual in response to an external event.

26. A system as claimed in claim 1, wherein said attachment means comprises a band and an electrical circuit passing through the band, said circuit being opened if said first device is removed, causing said first device to cease to function or be reset to a different first pseudorandom number sequence.

27. A system as claimed in claim 1, wherein said attachment means comprises an optical fiber circuit passing through a circumferential band associated with said first device which is interrupted if said first device is removed and causes said first device to cease to function or be reset to a different first pseudorandom number sequence.

5

28. A system as claimed in claim 1, wherein said second device comprises automatic alert means for automatically alerting the individual that the individual must identify himself, said automatic alert means comprising means for initiating a radio transmission signal at random time intervals over a paging network to a paging receiver that is carried by the individual.

5

29. A system as claimed in claim 28, wherein said alert means includes means for prompting said individual to convey said first sequence of pseudorandom numbers and telephone number to said second device by initiating a telephone call to said second device.

30. A system as claimed in claim 1, further comprising location determining means located in the presence of the individual for determining the individual's location.

31. A system as claimed in claim 30, wherein said location determining means is a Loran C receiver.

32. A system as claimed in claim 30, wherein said location determining means is a Global Positioning Satellite receiver.

33. A system as claimed in claim 32, wherein said location determining means is a Teletrac transponder.

34. A system as claimed in claim 33, wherein said Teletrac transponder is a battery operated transponder including means comprising an electronic memory for simultaneously recording time, location, and a current first sequence of said pseudorandom numbers to the individual, and subsequently relaying this information by radio transmission back to said second device.

35. A system as claimed in claim 30, wherein said location determining equipment is battery powered and include means for simultaneously recording

information including actual time, location, and a current first sequence of said pseudorandom numbers one or more times in succession, and means for playing  
5 back the recorded information over the telephone system to said second device at a future time.

36. A system as claimed in claim 35, further comprising means for automatically conveying said current first sequence of said pseudorandom numbers by radio transmission from said first device to said position determining means at equal time intervals.

37. A system as claimed in claim 35, further comprising means for automatically conveying said current first sequence of said pseudorandom numbers by radio transmission from said first device to said position determining means at pseudorandom time intervals.

38. A system as claimed in claim 5, further comprising means for enabling said individual to enter a personal identification number in said first device before a correct first sequence of said pseudorandom numbers is displayed in the liquid crystal display.

39. A system as claimed in claim 38, wherein said first device comprises a calculator wrist watch.

40. A system as claimed in claim 38, further comprising means for displaying an incorrect pseudorandom code on the liquid crystal display if an invalid personal identification number is entered, thereby confusing an imposter.

41. A system as claimed in claim 30, comprising a plurality of said first devices, one for each of a plurality of individuals being monitored, and wherein said second device comprises graphic display means for graphically displaying the locations of all of said individuals.

42. A system as claimed in claim 41, wherein said graphic display means showing the location of all of said individuals is broadcast to portable receivers carried by the individuals.

43. A system as claimed in claim 1, further comprising means for causing said first device to cease to function or be reset if a sensor associated with said first device detects an extended interruption of a pulse of said individual.

1 / 4

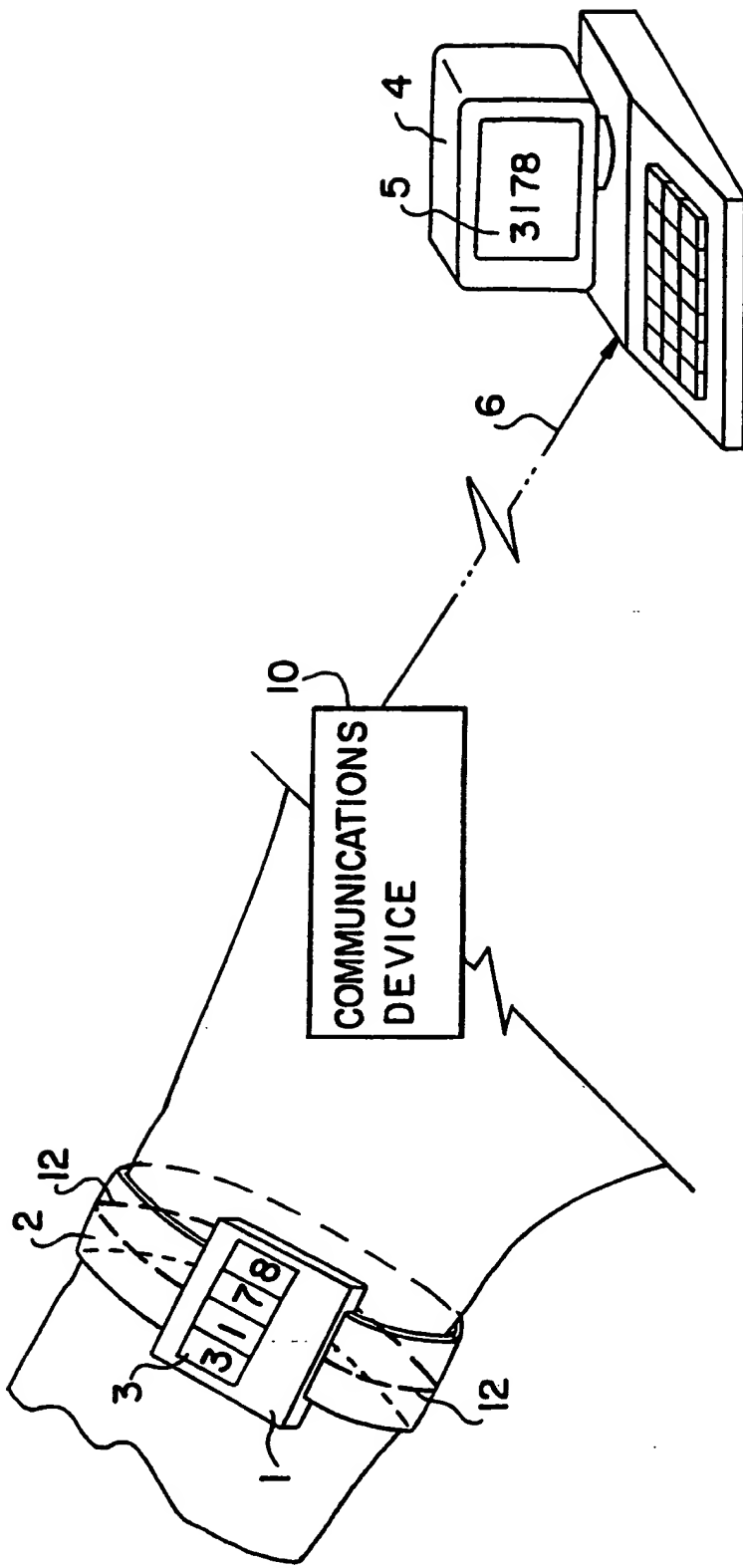


FIG. 1

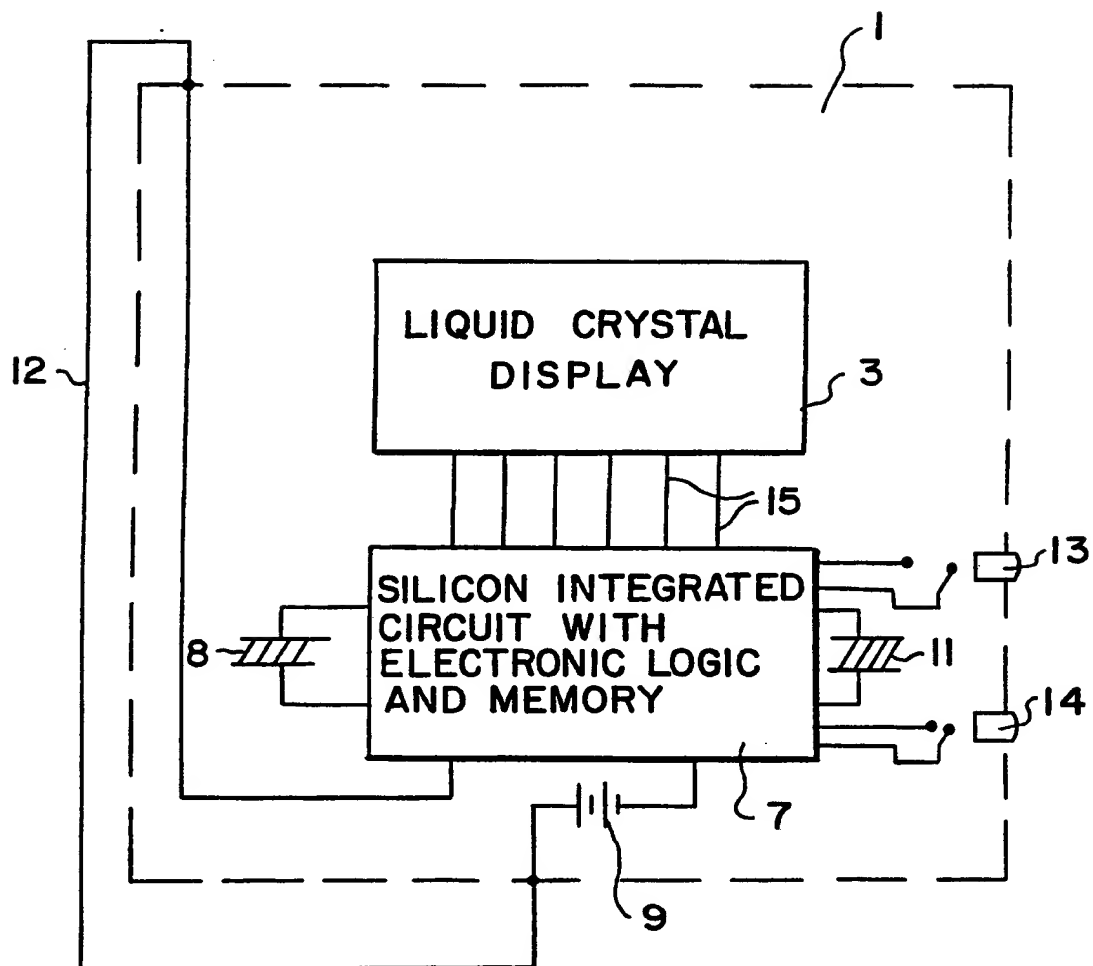


FIG. 2

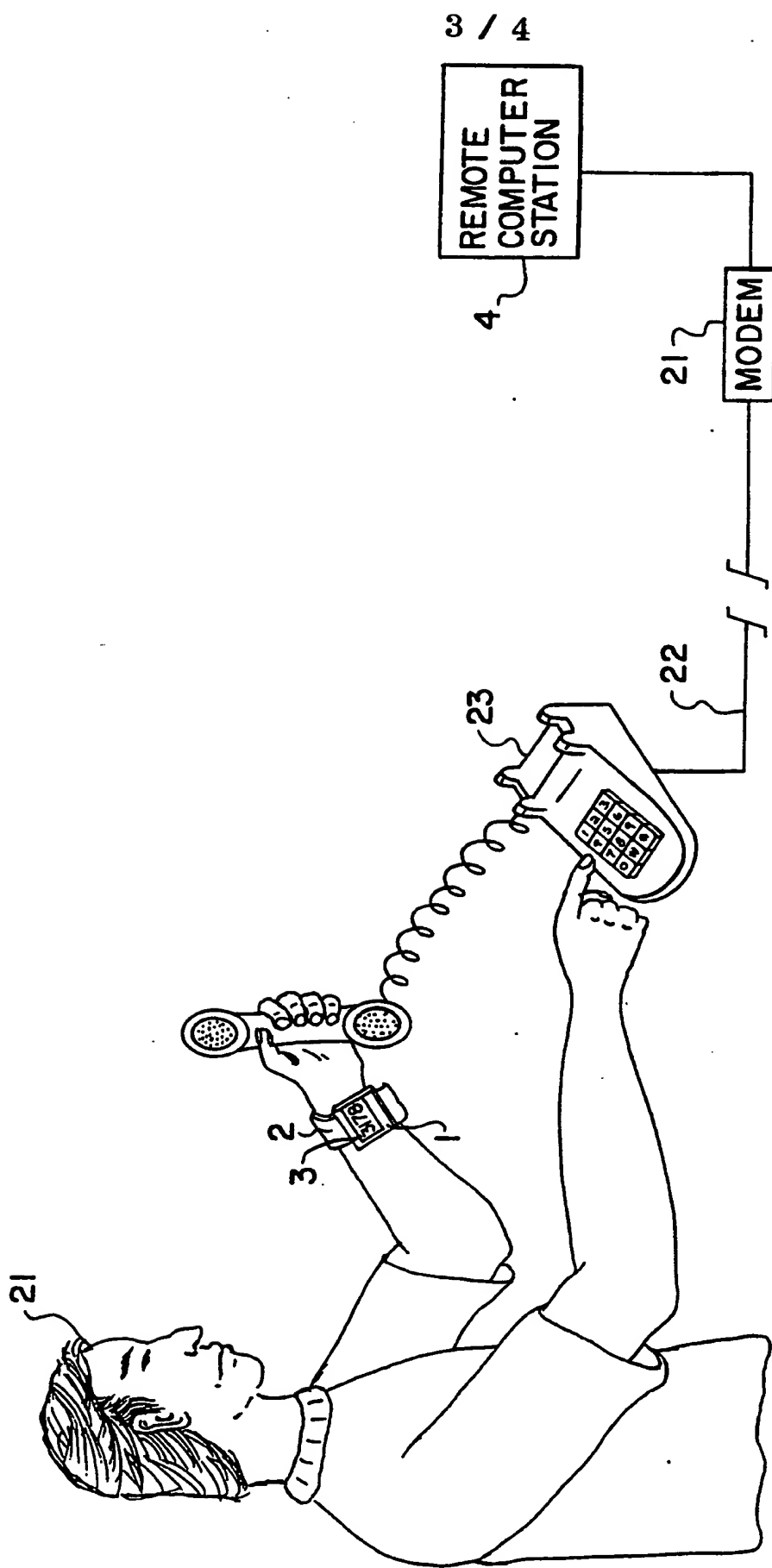


FIG. 3

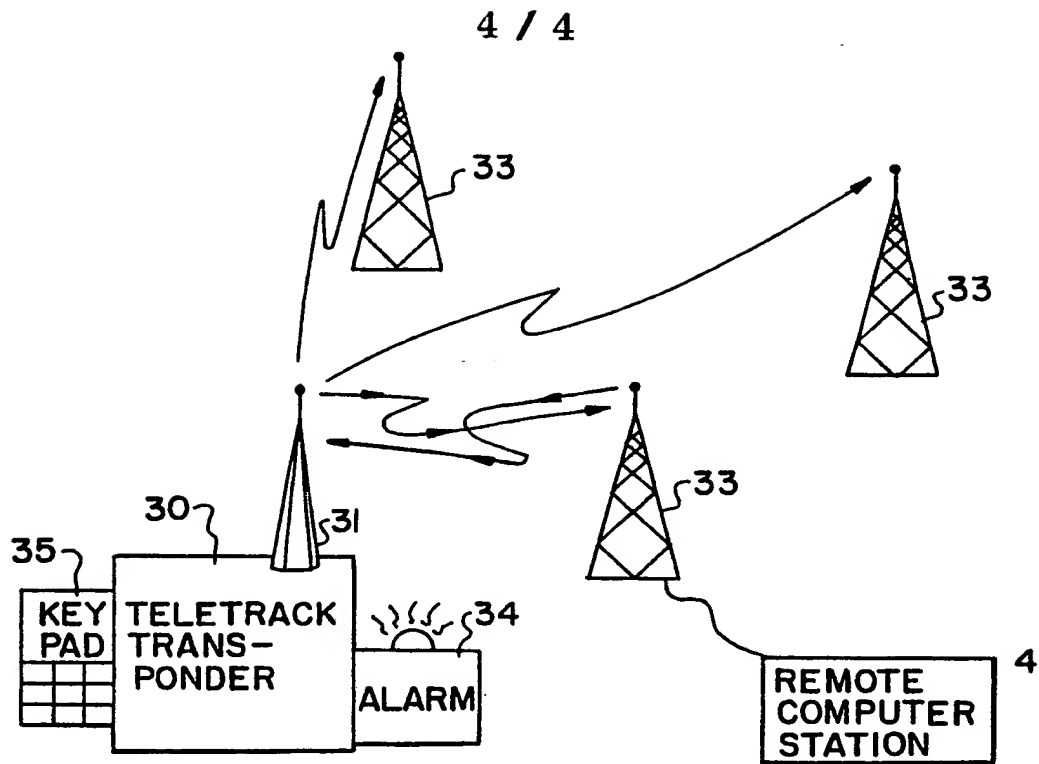


FIG. 4

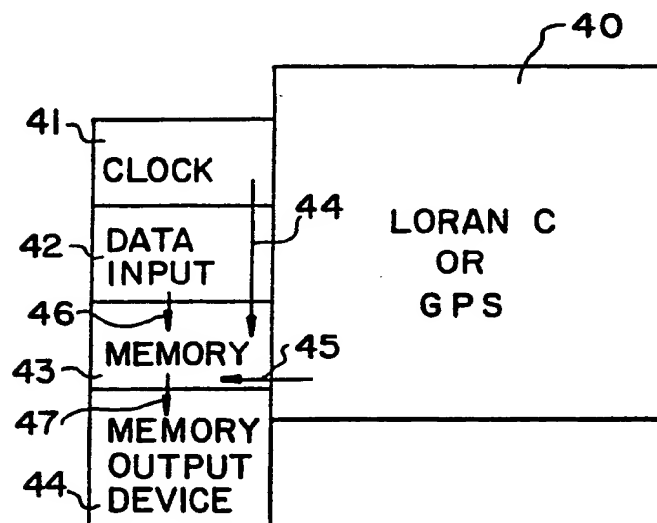


FIG. 5

SUBSTITUTE SHEET



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/06563**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :G06F 7/04, G01S 5/02; H04M 11/00; H04L 9/12

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS location, determin?, Loran-C, Loran, Global Positioning Satellite, GPS, Teletrac, Teletrac Transponder, Tele-trac, identif?, individual, remot?, valid?

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	See Attached Sheet.	

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

18 NOVEMBER 1992

Date of mailing of the international search report

31 DEC 1992

Name and mailing address of the ISA/  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized official

ANDY HILL

Telephone No. (703) 305-4822

# BEST AVAILABLE COPY

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/06563

### C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	Electronic Monitoring Programme- The Hawk, (Product Brochure) August 1988, Marconi Electronic Devices, pages 3-5	1-43
Y	US, A, 3,478,344 (Schwitzgebel et al) 11 November 1969, col. 3, lines 23-39, fig.3.	1-43
Y	US, A, 4,348,744 (White) 07 September 1982, col. 14 lines 51-55, col. 15, lines 22-37, figs. 10 and 11	10-16, 39
Y	US, A, 4,449,040 (Matsuoka et al) 15 May 1984, figs. 3-6,8,9	12, 15
Y	US, A, 4,596,988 (Wanka) 24 June 1986, col. 2, lines 47-68, col.3 lines 1-25, col. 4 lines 3-7, figs. 1-3	30,32-37,41,42
Y	US, A, 4,651,157 (Gray et al) 17 March 1987, col. 2, lines 12-44, figs. 1-2	30,31
Y	US, A, 4,743,892 (Zayle) 10 May 1988, figs. 1-4	22-24
Y	US, A, 4,747,120 (Foley) 24 May 1988, col. 4 line 60 to col. 5 line 2, fig. 1	17-19
Y	US, A, 4,856,062 (Weiss) 08 August 1989, col 1, lines 13-16, col. 3, line 10-64, col. 6, lines 60-64, col. 7, lines 20-34, figs 1A,2.	1-43
Y	US, A, 4,952,913 (Pauley et al) 28 August 1990, col. 10, lines 5-26, figs. 4-6.	26,27,43
Y	US, A, 4,999,613, (Williamson et al) 12 March 1991, col. 18, lines 15-68, figs. 2-3	22-24
Y	US, A, 5,003,595 (Collins et al) 26 March 1991, col. 2, lines 37-59, col. 4, lines 7-12, figs. 1-4.	23,24
Y	US, A, 5,021,794 (Lawrence) 04 June 1991, col. 3, lines 30-57, col. 4, lines 65-66, col. 5, lines 2-28, fig. 1.	30,33-37,41,42
Y	US, A, 5,023,908 (Weiss) 11 June 1991, col. 2, lines 22-55, figs, 1,3	11,12,14,15,38-40
Y,P	US, A, 5,103,474 (Stoodley et al) 07 April 1992, col. 4, lines 20-42, col. 5, line 68 to col. 6 line 3, figs 1-3.	28,29

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US92/06563

## A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

340/825.300, 825.310, 825.340, 573; 342/450,357,389; 379/38, 106; 380/23

## B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

340/825.300, 825.310, 825.320, 825.330, 825.340, 825.44, 825.45, 825.69, 825.72, 825.49, 825.54, 539, 573;  
342/450,357,389,457; 379/38, 102-105, 51, 57, 106; 380/23,25

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

I. Species I, which is exemplified by figure 3, whereby a second unit places a telephone call to a predetermined phone number in order to determine the validity and location of an individual (claims 17-19).

II. Species II, which is exemplified on page 13, lines 5-16 of the specification in conjunction with figure 1, whereby a first unit alerts an individual to place a call to the second unit upon which the second unit determines the validity and location of an individual upon receipt of a valid call by the individual (claims 22-24).

III. Species III, which is exemplified on page 13, lines 17-20 of the specification in conjunction with figure 1, whereby an individual is alerted via a third unit (e.g. a paging receiver) which indicates that the individual should contact a second unit, whereby the third unit is controlled by the second unit, and upon which the second unit determines the validity of an individual upon receipt of a valid call by the individual (claims 28-29).

IV. Species IV, which is exemplified by figures 4 and 5, whereby an individual's validity and location is determined by use of a position locating system network (claims 30-37, 41 and 42).

Claims 1-16, 20, 21, 25-27, 38-40, 43 are generic, while claim 1 is the generic claim that specifically links species I-IV.

BEST AVAILABLE COPY

**THIS PAGE BLANK (USPTO)**